

12/17/01

1031 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.: CR1090AC  
 First Inventor: KERMODE ET AL.  
 Title: A COMMUNICATIONS UNIT FOR SECURE COMMUNICATIONS  
 Express Mail Label No.: ET861521231US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS  
(see MPEP chapter 600 concerning  
utility patent application contents)

ADDRESS TO:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

12/17/01  
 10/1024826  
 U.S. PTO  
 JC564

1. ☒ Fee Transmittal Form in duplicate  
 (Submit an original and a duplicate for fee processing)  
 2. ☐ Applicant claims small entity status  
 See 37 CFR 1.27  
 3. ☒ Specification Total Pages   
 (preferred arrangement set forth below)  
 -Descriptive title of the invention  
 -Cross Reference to Related Applications  
 -Statement Regarding Fed sponsored R&D  
 -Reference to sequence listing, a table,

-Background of the Invention  
 -Brief Summary of the Invention  
 -Brief Description of the Drawings (if filed)  
 -Detailed Description  
 -Claim(s)  
 -Abstract of the Disclosure

4. ☒ Drawing(s) Total Sheets 

5. Oath or Declaration

- a. ☒ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/ divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting  
 inventor(s) named in the prior application,  
 see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet under 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large  
 table or Computer Program (Appendix)  
 8. ☐ Nucleotide and/or Amino Acid Sequence  
 (if applicable, all necessary)  
 a. ☐ Computer Readable Form (CFR)  
 b. ☐ Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-4 (2 copies);  
 ii. ☐ or paper  
 c. ☐ Statements verifying identify of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) ☒ Power of Attorney  
 Statement (when there is an assignee)  
 11. ☐ English Translation Document (if applicable)  
 12. ☒ IDS  Copies of IDS Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503)  
 15. ☐ Certified Copy of Priority Document  
 16. ☐ Nonpublication Request under 35 U.S.C.  
 122(b)(2)(B)(i). Applicant must attach form  
 PTO/SB/35 or its equivalent.  
 17. ☒ Other: 3 PAGES OF FORMAL  
 DRAWINGS TO REPLACE 3  
 PAGES OF INFORMAL  
 DRAWINGS

18. IF A CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in- Part (CIP) Prior Appl. No.

Prior Appl. information: Examiner:  Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or

☐

Correspondence address below

Name DANIEL K. NICHOLS  
 Address Motorola, Inc. - Law Department  
 1303 E. Algonquin Road  
 City Schaumburg State IL Zip Code 60196  
 Country U.S.A. Telephone 847-538-3129 Fax 847-576-3750  
 Name DANIEL K. NICHOLS Registration No. 29,420  
 SIGNATURE *Daniel K. Nichols* Date 12/17/2001

# FEE TRANSMITTAL

Patent fees are subject to annual revision

Complete if Known

Application Number  
Filing Date 12/17/2001  
First Named Inventor ROGER GEORGE KERMODE  
Examiner Name  
Group Art Unit  
Attorney Docket No. CR1090AC

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 13-4772  
Deposit Account Name Motorola, Inc.

☒ Charge Any Additional Fee required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Paid               |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee 740 |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

| Total Claims       | Previously Paid** | Extra Claims | Fee from Below | Fee Paid |
|--------------------|-------------------|--------------|----------------|----------|
| 12                 | 20                | 0            | 18             |          |
| Independent Claims | 1                 | 3            | 0              | 84       |

Multiple Dependent

280 =

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                   |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                        |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claim, if not paid                    |
| 109                  | 84                    | 209                  | 42                    | * Reissue independent claims over original patent        |
| 110                  | 18                    | 210                  | 9                     | *Reissue claims in excess of 20 and over original Patent |

SUBTOTAL (2) (\$ 0)

\*\*OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE.

\*For Reissues, see above

### SUBMITTED BY

Name (Print/Type) DANIEL K. NICHOLS

Signature

*[Signature]*

Registration No. 29,420

Telephone 847-576-5219

Date 12/17/2001

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large<br>Entity<br>Code | Large<br>Fee<br>(\$) | Small<br>Entity<br>Code | Small<br>Fee<br>(\$) | Fee Description  |
|-------------------------|----------------------|-------------------------|----------------------|--|
| 105                     | 130                  | 205                     | 65                   | Surcharge - late filing fee or oath  |
| 127                     | 50                   | 227                     | 25                   | Surcharge - late Provisional filing  |
| 139                     | 130                  | 139                     | 130                  | Non-English specification  |
| 147                     | 2520                 | 147                     | 2520                 | For filing a request for ex parte Reexamination                            |
| 112                     | 920*                 | 112                     | 920*                 | Requesting publication of SIR prior to Examiner action                     |
| 113                     | 1840*                | 113                     | 1840*                | Requesting publication of SIR after Examiner action                        |
| 115                     | 110                  | 215                     | 55                   | Extension for reply within first month                                     |
| 116                     | 400                  | 216                     | 200                  | Extension for reply within second month                                    |
| 117                     | 920                  | 217                     | 460                  | Extension for reply within third month                                     |
| 118                     | 1440                 | 218                     | 720                  | Extension for reply within fourth month                                    |
| 128                     | 1960                 | 228                     | 980                  | Extension for reply within fifth month                                     |
| 119                     | 320                  | 219                     | 160                  | Notice of Appeal   |
| 120                     | 320                  | 220                     | 160                  | Filing a brief in support of an appeal                                     |
| 121                     | 280                  | 221                     | 140                  | Request for oral hearing   |
| 138                     | 1510                 | 138                     | 1510                 | Petition to institute a public use proceeding                              |
| 140                     | 110                  | 240                     | 55                   | Petition to revive - unavoidable   |
| 141                     | 1280                 | 241                     | 640                  | Petition to revive - unintentional   |
| 142                     | 1280                 | 242                     | 640                  | Utility issue fee (or reissue)   |
| 143                     | 460                  | 243                     | 230                  | Design issue fee   |
| 144                     | 620                  | 244                     | 310                  | Plant issue fee  |
| 122                     | 130                  | 122                     | 130                  | Petitions to the Commissioner  |
| 123                     | 50                   | 123                     | 50                   | Processing fee under 37 CFR 1.17(q)  |
| 126                     | 180                  | 126                     | 180                  | Submission of IDS  |
| 581                     | 40                   | 581                     | 40                   | Recording each patent assignment per property (times number of properties) |
| 146                     | 740                  | 246                     | 370                  | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 149                     | 740                  | 249                     | 370                  | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 179                     | 740                  | 279                     | 370                  | Request for Continued Examination (RCE)                                    |
| 169                     | 900                  | 169                     | 900                  | Request for expedited examination of a design application                  |

Other fee (specify)

\* Reduced by Basic Filing Fee paid SUBTOTAL (3) (\$ 40)

Complete (if applicable)

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